

CONTEMPORARY ADMINISTRATIVE SANCTION LAW SEMINAR

August, 17, 2023 9am - Location: CVM Auditorium (R. Sete de
Setembro, 111, 34th floor, Downtown - Rio de Janeiro (RJ)
Event organizers: Internacional Institute of State Law Studies (IIEDE) e Securities
and Exchange Commission of Brazil (CVM).

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SCHEDULE:

Panel 1: CVM's role in ensuring the protection of investors and as a guarantor of the efficient and regular functioning of capital markets in Brazil

João Pedro Nascimento, Chairman of CVM

9:00 am to 9:30 am

Panel 2: Accounting inconsistencies and the impacts of the credibility crisis on the Brazilian capital market

Speaker: Fábio Medina Osório, Former Chief Minister of the Attorney General's Office of the Federative Republic of Brazil, Executive Chairman of IIEDE and President of Cedas for National OAB and Bruno Duque Horta Nogueira, Legal Director of BTG Pactual

Moderator: Fábio Galvão, Former Head of Enforcement Office of CVM, President of CDA for ABA-RJ and Vice-President da CDA for National ABA

9:30 am to 10:00 am

Panel 3: Controversial themes on market abuse: fraud, manipulation and “insider trading”

Speaker: João Carlos Accioly – Commissioner of CVM and Former Director of Economic Analysis (Ministry of Finance of Brazil)

Moderator: Pedro Henrique Di Masi Palheiro, State Attorney (Rio de Janeiro State Attorney Office)

10:00 am to 10:30 am

Panel 4: Supervising the disclosure of accounting information and the dissemination of market-related news, material disclosures, and announcements to shareholders and the enforcement of irregularities in securities transactions

Speaker: Otto Eduardo Fonseca Lobo, Commissioner of CVM and Former Member of the Appeals Council of the National Financial System (CRSFN)

Moderator: Luis Vicente de Chiara, Legal Director of Febraban – The Brazilian Federation of Banks

10:30 am to 11:00 am

Panel 5: Duties and liability of Company directors, managers and controlling shareholders or any shareholder holding relevant participation in capital to influence the disclosure of accounting information and investor decision-making process

Speaker: Frademir Vicente de Oliveira, Legal Advisor of Previ – The pension fund for Bank of Brazil employees

Moderator: Ana Tereza Basilio, Vice-President of Rio de Janeiro Section of the Brazilian Bar Association (OAB/RJ)

11:00 am to 11:30 am

Panel 6: Enforcement settlements and Supervision agreements

Speaker: Andréa Araujo Alves de Souza, General Manager for Processing of Cases at CVM

Moderator: Bruno Bianco, Former Chief Minister of the Attorney General's Office of the Federative Republic of Brazil

11:30 am to 12:00 am

Closing Conference - New Paradigms of the capital market in Brazil

Jorge Messias, Chief Minister of the Advocacia-Geral da União
12:00 am

Present:



Organization

